

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

# NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

12/27/2006

John S. Nagy, Esq. Fulwider Patton Lee & Utecht, LLP Howard Hughes Center 6060 Center Drive, Tenth Floor Los Angeles, CA 90045 EXAMINER
GILBERT, SAMUEL G

ART UNIT

PAPER NUMBER

3735

DATE MAILED: 12/27/2006

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |
|-----------------|-------------|----------------------|---------------------|------------------|--|
| 10/715,150      | 11/17/2003  | Lilip Lau            | PARCR 67359         | 4295             |  |

TITLE OF INVENTION: CARDIAC HARNESS DELIVERY DEVICE AND METHOD

| APPLN. TYPE    | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|--------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | YES          | \$700         | \$300               | \$0                  | \$1000           | 03/27/2007 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where

| appropriate. All further indicated unless correcte maintenance fee notifical   | ed below or directed oth   | ng the Patent, ad<br>nerwise in Block                                      | vance o<br>I, by (                    | orders and notification of<br>a) specifying a new con  | of m<br>rresp  | aintenance fees woondence address;   | ill be<br>and/or                      | mailed to the current<br>r (b) indicating a separ   | correspondence address as<br>rate "FEE ADDRESS" for  |
|--|--|--|---------------------------------------|--|--|--|---------------------------------------|---|--|
| CURRENT CORRESPOND   | ENCE ADDRESS (Note: Use BI   | , -  | (address)                             | F  | ec(s   | ) Transmittal. Thi   | s certif                              | ficate cannot be used for   | domestic mailings of the<br>or any other accompanying<br>at or formal drawing, must                            |
| John S. Nagy, I<br>Fulwider Patton<br>Howard Hughes<br>6060 Center Dri   | Esq.<br>Lee & Utecht, LLP<br>Center  | /2006  |                                       | I<br>S<br>a<br>tu  | here<br>tate:<br>ddre<br>ransi   | by certify that this Postal Service w  | is Fee(                               | e of Mailing or Transn<br>s) Transmittal is being<br>ficient postage for first<br>ISSUE FEE address:<br>(1) 273-2885, on the da | nission deposited with the United class mail in an envelope above, or being facsimile te indicated below.      |
| Los Angeles, CA  | ,  |  |                                       | L  |  |  |                                       |   | (Depositor's name)   |
| _  |  |  |                                       | ·  |  |  |                                       | <del></del>   | (Signature)  |
|  |  |  |                                       | L  |  |  |                                       |   | (Date)   |
| APPLICATION NO.  | FILING DATE  |  |                                       | FIRST NAMED INVENT   | OR   |  | ATTO                                  | RNEY DOCKET NO.   | CONFIRMATION NO.   |
| 10/715,150<br>TITLE OF INVENTION   | 11/17/2003<br>: CARDIAC HARNESS  | DELIVERY DE  | VICE A                                | Lilip Lau<br>AND METHOD  |  |  |                                       | PARCR 67359   | 4295   |
| APPLN. TYPE  | SMALL ENTITY   | ISSUE FEE D  | UE                                    | PUBLICATION FEE DU   | E  | PREV. PAID ISSUE   | FEE                                   | TOTAL FEE(S) DUE  | DATE DUE   |
| nonprovisional   | · YES  | \$700  |                                       | \$300  |  | \$0  |                                       | \$1000  | 03/27/2007   |
| EXAM   | INER ·   | ART UNIT   |                                       | CLASS-SUBCLASS   |  |  |                                       |   |  |
| GILBERT, S   | SAMUEL G   | 3735   |                                       | 600-037000   |  |  |                                       |   |  |
| CFR 1.363).  Change of corresponders form PTO/SE  "Fee Address" indi PTO/SB/47; Rev 03-0 Number is required.  ASSIGNEE NAME Al | ication (or "Fee Address' 2 or more recent) attach  ND RESIDENCE DATA  css an assignee is identi in in 37 CFR 3.11. Comp | nge of Correspon  Indication form ed. Use of a Cust                        | dence<br>tomer                        | •  | to attive aging the property of the property o | B registered patenticly, firm (having as a gent) and the name neys or agents. If r rinted.  c) ent. If an assigne ssignment. | membes of unioname                    | er a 2p to le is 3dentified below, the do   | cument has been filed for  |
| Please check the appropri  | ate assignee category or   | categories (will r   | ot be pi                              | rinted on the patent):   | <u> </u>   | ndividual Co   | rporati                               | on or other private grou  | up entity Government   |
|  | o small entity discount p  |  | 41                                    | b. Payment of Fec(s): (P A check is enclosed Payment by credit of The Director is here overpayment, to De        | d.<br>card.  | . Form PTO-2038  | is atta                               | iched.  |  |
|  | tus (from status indicated   |  | 27.                                   | ☐ b. Applicant is no l   |  |  |                                       | · ·   |  |
| NOTE: The Issue Fee and interest as shown by the r   | d Publication Fee (if requeecords of the United State  | nired) will not be<br>tes Patent and Tra                                   | accepte<br>demark                     | d from anyone other that Office.   | n the  | e applicant; a regis   | stered a                              | attorney or agent; or the   | assignee or other party in   |
| Authorized Signature   |  |  | · · · · · · · · · · · · · · · · · · · |  |  | Date   |                                       |   |  |
|  | ·  |  |                                       |  |  | Registration N   | 0                                     |   |  |
| This collection of information application. Confident submitting the completed this form and/or suggestic                      | ation is required by 37 C<br>iality is governed by 35<br>application form to the<br>ons for reducing this bur            | FR 1.311. The in<br>U.S.C. 122 and 3<br>USPTO. Time w<br>den, should be se | formation 7 CFR vill vary             | on is required to obtain on 1.14. This collection is depending upon the independent of the Chief Information Off | or ret<br>estir<br>divic<br>icer,  | tain a benefit by the<br>nated to take 12 m<br>dual case. Any con<br>U.S. Patent and   | ne publ<br>ninutes<br>mment<br>Fraden | ic which is to file (and<br>to complete, including<br>s on the amount of tim<br>nark Office, U.S. Depar                         | by the USPTO to process)<br>gathering, preparing, and<br>e you require to complete<br>thment of Commerce, P.O. |

Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

| APPLICATION NO.    | FILING DATE   | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |  |  |
|--------------------|---|----------------------|---------------------|------------------|--|--|
| 10/715,150         | 11/17/2003  | Lilip Lau            | PARCR 67359         | 4295             |  |  |
| 759                | 90 12/27/2006   |                      | EXAM                | INER             |  |  |
| John S. Nagy, Eso  | g.  | GILBERT, S           | GILBERT, SAMUEL G   |                  |  |  |
| Fulwider Patton Le |   | ART UNIT             | PAPER NUMBER        |                  |  |  |
| 6060 Center Drive, | Howard Hughes Center<br>6060 Center Drive, Tenth Floor<br>Los Angeles, CA 90045 |                      |                     | 5                |  |  |

## Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 618 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 618 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.